

# Pattern Dynamics of Rayleigh–Bénard convective rolls and weakly segregated diblock copolymers

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We consider the pattern dynamics of the lamellar phases observed in Rayleigh–Bénard convection, as described by the Swift–Hohenberg equation, and in the weak segregation regime of diblock copolymers. Both numerical and analytical investigations show that the dynamical growth of the characteristic length scale in both systems is described by the same growth exponents, thus suggesting that both systems are members of the same universality class.

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## I. INTRODUCTION

The study of the dynamics of pattern formation in systems far from equilibrium encompasses examples from both physics, chemistry and biology [1]. Despite completely different physical origins some systems exhibit identical morphologies and pattern dynamics, and may be perceived as members of the same universality class.

In this paper we consider the pattern dynamics of two morphologically identical systems, namely Rayleigh–Bénard convective rolls and weakly segregated diblock copolymers. At short times after a quench from the uniform stable phase to the unstable phase both systems develop a labyrinthine domain morphology consisting of rolls (or lamellae) of a well-defined width  $w$ . Initially the rolls are randomly oriented, but as time increases they locally align up in parallel thereby creating an increasingly ordered pattern [Fig.1]. We have investigated the dynamics of this coarsening process by numerical integration of the appropriate Langevin equations and by analytical considerations. Both approaches agree that the characteristic length scale of the systems scales dynamically with growth exponents which are common to both systems, thereby suggesting that the pattern dynamics of Rayleigh–Bénard convection and diblock copolymers belong to the same universality class.

The observed ordering phenomenon is driven by two mechanisms, namely interface relaxation and defect annihilation. The effect of the former mechanism can in a defect-free system be calculated by considering the speed at which a modulated interface relaxes to its (straight) ground state. Specifically we apply the projection operator method [2] developed for interface relaxation in the Rayleigh–Bénard system to the same problem in diblock copolymers, thus providing a systematic treatment of both systems. Furthermore we show how the application of a general approach to interface relaxation recently developed by one of the authors [3] leads to the same result for the Rayleigh–Bénard system.

This paper is organized as follows: In Sec.II we intro-

duce the two models we study. Our numerical work is presented and discussed in Sec.III. Sec.IV contains the theoretical considerations including a brief review of the projection operator method, and Sec.V concludes with a summary and discussion.

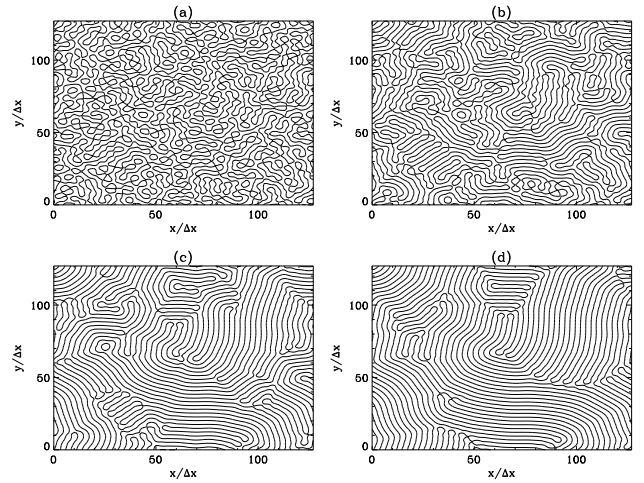


FIG. 1. The coarsening process. The figure shows snapshots of the domain configurations in the diblock polymer system shortly after the quench from the disordered to the bistable phase (a) and at increasingly later times (b)-(d). The pictures are contour plots of  $128 \times 128$  systems where the contours are defined by  $\phi(x, y, t) = 0$ . The order parameter field,  $\phi(x, y, t)$ , was obtained by numerical integration of Eq.(5) at zero thermal noise. Simulations of the Swift–Hohenberg system [Eq.(2)] produces domain configurations which morphologically are indistinguishable from those here presented for the diblock copolymer system.

## II. MODELS

In the Rayleigh–Bénard system a simple fluid is confined between two horizontal plates which are heated from below, and for values of the Rayleigh number,  $R$ , larger than a critical value,  $R_c$ , an instability occurs

which transform the uniform state to a state consisting of spatially periodic convective rolls. Near the onset of the convective instability the free energy functional,  $F$ , of the Rayleigh–Bénard system is, in dimensionless variables, well approximated by the form

$$F[\phi] = \int d^2r (-\phi[\epsilon - (k_0^2 + \nabla^2)^2]\phi/2 + \phi^4/4), \quad (1)$$

deduced by Swift and Hohenberg (SH) [4]. Here the scalar order-parameter field  $\phi = \phi(x, y, t)$  is related to the local vertical fluid velocity,  $\epsilon = (R - R_c)/R_c$  is the reduced Rayleigh number which acts as the control parameter of the system, and  $k_0$  is the wavenumber corresponding to the period,  $\lambda = 2w$ , of the modulated structure, i.e.,  $k_0 = \pi/w$ .

For small  $\epsilon$  the order parameter field is locally well described by a single mode approximation,  $\phi(\mathbf{r}) \sim \cos(\mathbf{k} \cdot \mathbf{r})$ , where  $\mathbf{k}$  is perpendicular to the orientation of the rolls, and as  $\epsilon \rightarrow 0$  this approximation is exact [5]. Minimizing the free energy Eq.(1) in the single mode approximation yields  $k = k_0$  as the selected wavenumber of the steady state. As customary we use  $k_0 = 1$ . The equation of motion for  $\phi$  is given by the Langevin equation  $\partial_t \phi = -\delta F[\phi]/\delta \phi + \zeta$ , where  $F$  is the above free energy and  $\zeta = \zeta(\mathbf{r}, t)$  is thermal noise correlated as  $\langle \zeta(\mathbf{r}, t) \zeta(\mathbf{r}', t') \rangle = 2A \delta(\mathbf{r} - \mathbf{r}') \delta(t - t')$ , where  $A$  parameterizes the strength of the thermal fluctuations. Thus the Swift–Hohenberg equation reads

$$\partial_t \phi = \epsilon \phi - (k_0^2 + \nabla^2)^2 \phi - \phi^3 + \zeta. \quad (2)$$

A diblock copolymer (DC) is a linear chain molecule joined together by two strings of equal length of e.g.  $A$  and  $B$  monomers. The polymerization index,  $N$ , is thus  $N = N_A + N_B$  where  $N_A = N_B$  are the numbers of  $A$  and  $B$  monomers, respectively. Above the critical temperature  $T_c$ ,  $A$  and  $B$  mix, whereas below  $T_c$  the two sequences are incompatible and the copolymer melt undergoes phase separation. However, spinodal decomposition [6] cannot continue indefinitely because of the chemical bond between the sequences. As a result the phase separation occurs on a length scale bounded above by the length of a stretched polymer chain (typically less than 1 micrometer) where banded domains of  $A$ -rich and  $B$ -rich regions alternate in the final equilibrium state. The free energy of a diblock copolymer melt below  $T_c$  is given (also in dimensionless variables) by a modified Cahn–Hilliard free energy functional [7]

$$F[\phi] = \int d^d r [f(\phi) + (1/2)(\nabla \phi)^2] + (\Gamma/2) \int d^d r d^d r' \phi(\mathbf{r}) G(\mathbf{r}, \mathbf{r}') \phi(\mathbf{r}'), \quad (3)$$

where  $\phi(\mathbf{r}, t) = \phi_A(\mathbf{r}, t) - \phi_B(\mathbf{r}, t)$  is the local concentration difference between the  $A$  and  $B$  monomers,  $f(\phi) = -\phi^2/2 + \phi^4/4$ , is the bulk free energy density, and  $\Gamma$  is a control parameter inversely proportional to the

square of the polymerization index,  $\Gamma \sim 1/N^2$ . Finally, the Green's function,  $G(\mathbf{r}, \mathbf{r}')$ , in the second integral is defined by the Poisson equation,  $\nabla^2 G(\mathbf{r}, \mathbf{r}') = -\delta(\mathbf{r} - \mathbf{r}')$ . The order parameter for this system is a conserved quantity, thus the appropriate Langevin equation for the time evolution of  $\phi$  subsequent to a quench from the disordered to the bistable phase, is  $\partial_t \phi = \nabla^2 \delta F[\phi]/\delta \phi + \zeta$ , or inserting Eq.(3),

$$\partial_t \phi = \nabla^2 (-\phi + \phi^3 - \nabla^2 \phi) - \Gamma \phi + \zeta, \quad (4)$$

where the noise  $\zeta$ , representing the effect of thermal fluctuations, has the correlations  $\langle \zeta(\mathbf{r}, t) \zeta(\mathbf{r}', t') \rangle = -2A \nabla^2 \delta(\mathbf{r} - \mathbf{r}') \delta(t - t')$ . For  $\Gamma$  just below the critical value,  $\Gamma_c = 1/4$ , Eq.(4) describes the dynamics of weakly segregated lamellar domains with a well-defined width  $w = \pi/k_0$ , where  $k_0 = \Gamma^{1/4}$  is the wavenumber which minimizes the free energy [Eq.(3)] in a single mode approximation [8].

The diblock copolymer equation [Eq.(4)] can conveniently be rewritten in a form resembling the Swift–Hohenberg equation [Eq.(2)],

$$\partial_t \phi = \epsilon \phi - (1/2 + \nabla^2)^2 \phi + \nabla^2 \phi^3 + \zeta, \quad (5)$$

where  $\epsilon = \Gamma_c - \Gamma$ . Linearizing in Fourier space about  $\phi = 0$  we find, in both Eq.(2) and Eq.(5), that fluctuations,  $\delta \phi_k$ , in the order parameter decay exponentially,  $\delta \phi_k(t) = \delta \phi_k(0) \exp[-\omega_k t]$  with rate  $\omega_k = (\alpha - k^2)^2 - \epsilon$ , where  $\alpha = 1, 1/2$  for the SH and DC system, respectively. Thus both systems have a band of wavevectors,  $k_- < k < k_+$ ,  $k_{\pm} = \sqrt{\alpha \pm \sqrt{\epsilon}}$ , for which the uniform state is unstable. In the nomenclature of Cross and Hohenberg [1] this means that both systems are stationary periodic, or Type  $I_s$ .

### III. SIMULATIONS

We have solved the SH and DC equations numerically using a finite difference scheme on two dimensional lattices of size  $512 \times 512$ , with periodic boundary conditions. Numerical algorithms for the spatio-temporal evolution of both systems were obtained by replacing, in Eq.(2) and Eq.(5),  $\partial_t \phi(\mathbf{r}, t)$  by  $(\phi_{ij}^{n+1} - \phi_{ij}^n)/\Delta t$ , and  $\nabla^2 \phi(\mathbf{r}, t)$  by the following discretized Laplacian

$$\nabla^2 \phi_{ij} = \frac{1}{(\Delta x)^2} \left[ \frac{2}{3} \sum_{(NN)} + \frac{1}{6} \sum_{(NNN)} - \frac{10}{3} \right] \phi_{ij}, \quad (6)$$

which includes contributions from both nearest neighbours (NN) and next-nearest neighbours (NNN). Here the indices  $(i, j)$  represent the coordinates  $(x, y)$  and the index  $n$  represents time. Connection to absolute time and spatial coordinates is established through the relationships  $t = n\Delta t$  and  $\mathbf{r} = (i\hat{x} + j\hat{y})\Delta x$ . The specific choice of coefficients in Eq.(6) ensures that the

Laplacian, in Fourier space, is isotropic to second order in  $k^2$ , i.e., the form of the Fourier transform,  $\Gamma_{\mathbf{k}}$ , of Eq.(6), is  $\Gamma_{\mathbf{k}} = -k^2 + \text{const.} \times (\Delta x)^2 k^4 + O((\Delta x k^2)^3)$ . For the diblock copolymer system the fluctuation-dissipation relation for the discrete equation can be maintained by generating two independent Gaussian variables  $\nu_{ij}^{(1)}(n)$ ,  $\nu_{ij}^{(2)}(n)$  with zero mean and correlations  $\langle \nu_{ij}^{(a)}(m) \nu_{kl}^{(b)}(n) \rangle = 2A\Delta t \delta_{i,k} \delta_{j,l} \delta_{m,n} \delta_{a,b}$  and then letting [9]  $\zeta_{ij}(n) = \frac{1}{\Delta x} [\nu_{i+1,j}^{(1)} - \nu_{i,j}^{(1)} + \nu_{i,j+1}^{(2)} - \nu_{i,j}^{(2)}]$ . In the simpler case of the SH-equation,  $\zeta_{ij}(n)$  is a Gaussian distributed field with zero mean and correlations  $\langle \zeta_{ij}(m) \zeta_{kl}(n) \rangle = 2A\Delta t \delta_{i,k} \delta_{j,l} \delta_{m,n}$ .

An inherent complication in this type of numerical simulations is the conflicting constraints which the choice of the stepsizes is subject to. The need for numerical accuracy requires  $(\Delta x, \Delta t)$  to be vanishingly small, whereas the finite computational power available requires the opposite. Specifically, a linear stability analysis [10] of the above algorithm with the Laplacian given by Eq.(6) shows that, in order to avoid spurious solutions arising from the subharmonic bifurcation, the dimensionless mesh size  $\Delta x$  and timestep  $\Delta t$  must satisfy the relation  $\Delta t < 2/[(\alpha - 16/[3(\Delta x)^2])^2 - \epsilon]$ , where, as before,  $\alpha = 1, 1/2$  for the SH and DC systems, respectively. In practice, the size of  $\Delta x$  is dictated by the the smallest length scale in the problem, which is the selected wavelength  $\lambda = 2w$ . In order to avoid lattice pinning it is desirable to have many lattice points per wavelength. This quantity is given by  $\lambda/\Delta x$ , so by lowering  $\Delta x$  any number can be obtained. However, from the above stability relation we see that decreasing  $\Delta x$  below unity drastically reduces the maximum allowable size of the timestep, and hence increases the required computer time.

We have performed our simulations using the values  $(\epsilon, \Delta x, \Delta t) = (0.25, 2\pi/8, 0.025)$  for the Swift-Hohenberg system and  $(\epsilon, \Delta x, \Delta t) = (0.05, 1.0, 0.05)$  for the diblock copolymer system, where both set of values satisfy the appropriate stability relations. In the SH system the selected wavelength is approximately  $2\pi$ , so  $\Delta x = 2\pi/8$  gives 8 lattice points per wavelength. The corresponding quantity in the DC system, which we consider in the weak segregation limit or small  $\epsilon$ , is approximately 9, since here the selected wavelength is  $\lambda = 2\pi/(1/4 - \epsilon)^{1/4}$ .

Appropriate to a critical quench the systems were initially prepared in the homogeneous single phase state by assigning to each lattice site a small random number uniformly distributed about  $\phi = 0$ . Nonzero temperatures were simulated using the fluctuation strengths  $A = 0.4$  and  $A = 0.1$  for the SH and DC systems, respectively.

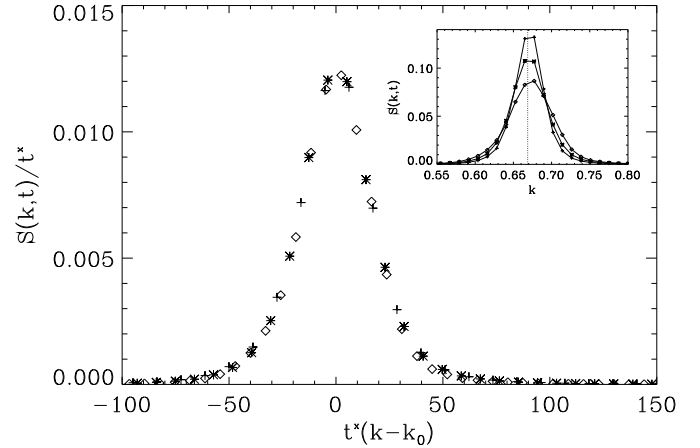


FIG. 2. Test of the scaling form Eq.(7) and (inserted) time evolution of the structure factor illustrated with data from simulations of the diblock copolymer system at zero thermal noise (Here depicted in arbitrary units). The scaling collapse was obtained with the value  $x = 1/5$  of the scaling exponent. The data sets  $\{\diamond, *, +\}$  represent the (dimensionless) times  $\{1.8 \times 10^4, 5.6 \times 10^4, 1.8 \times 10^5\}$ .

### A. Dynamical scaling

We monitor the coarsening phenomenon by means of the usual structure factor  $S(\mathbf{k}, t) = |\phi_{\mathbf{k}}(t)|^2$ , where  $\phi_{\mathbf{k}}(t)$  is the Fourier transform of the order parameter. The circularly averaged structure factor,  $S(k, t)$ , is sharply peaked around the wavevector  $k_0$  which corresponds to the width of the rolls, and as time evolves it becomes increasingly sharper and higher. Assuming dynamical scaling, the simplest scaling form for the structure factor is

$$S(k, t) = t^x f(t^x[k - k_0]), \quad (7)$$

where  $f(y)$  is a scaling function. This form implies that the width,  $\Delta k$ , of the structure factor and its intensity,  $S(k_0, t)$ , scale as  $\Delta k \sim t^{-x}$  and  $S(k_0, t) \sim t^x$ . In agreement with previous work by a number of authors, our data from the SH system satisfies this scaling form with the scaling exponents  $x = 1/5$  and  $x = 1/4$  at zero and non-zero thermal noise respectively [2,11,12]. Furthermore, we find that the diblock copolymer system also obeys Eq.(7) with the same values of the scaling exponents [Fig.(2)].

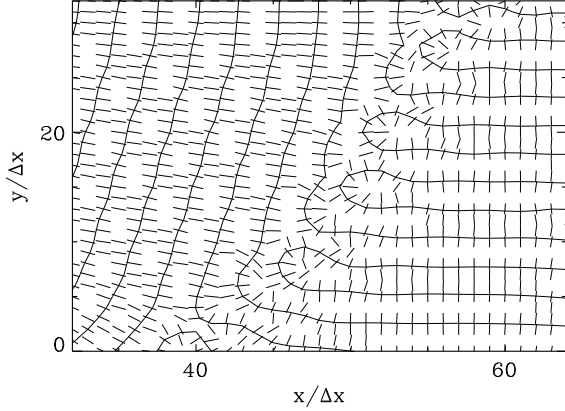


FIG. 3. The local director field,  $\mathbf{n}(\mathbf{r}) = \nabla\phi(\mathbf{r})/|\nabla\phi(\mathbf{r})|$ , here illustrated as small bars, from which the correlation function Eq.(8) is computed. For visual clarity only directors near the domain boundaries (solid contours) are depicted.

A more direct method of probing the rolls increasingly orientational order is computing a correlation function,  $C_{nn}(\mathbf{r}, t)$ , of the 'nematic' order parameter  $\mathbf{n} = \nabla\phi/|\nabla\phi|$ , i.e. the unit vector normal to surfaces of constant  $\phi$  [Fig.(3)]. Explicitly we have computed the correlation function

$$C_{nn}(\mathbf{r}, t) = \frac{2}{N^2} \sum_{\mathbf{x}} \langle [\mathbf{n}(\mathbf{x} + \mathbf{r}, t) \cdot \mathbf{n}(\mathbf{x}, t)]^2 \rangle - 1, \quad (8)$$

where  $N^2$  is the volume of the system and  $\langle \dots \rangle$  means a statistical average implemented through several independent runs. We compute  $\langle [\mathbf{n}(\mathbf{r}_1) \cdot \mathbf{n}(\mathbf{r}_2)]^2 \rangle$  rather than  $\langle \mathbf{n}(\mathbf{r}_1) \cdot \mathbf{n}(\mathbf{r}_2) \rangle$  since we are interested only in the relative angle,  $\theta(\mathbf{r}_1, \mathbf{r}_2)$ , between the directors at sites  $\mathbf{r}_1$  and  $\mathbf{r}_2$ . For sites separated by large distances the corresponding directors can be expected to completely decorrelated, thus  $2\langle \cos^2 \theta \rangle - 1 = 0$ .

The time complexity of the algorithm for both  $C_{nn}$  and its corresponding structure factor,  $S_{nn}(\mathbf{k}, t) = N^{-2} \sum_{\mathbf{r}} C_{nn}(\mathbf{r}, t) \exp(i\mathbf{k} \cdot \mathbf{r})$ , is  $N^4$ , and with  $N = 512$  excessive computer time is demanded. This problem can be circumvented by introducing the two-dimensional tensor  $Q_{ab}(\mathbf{r}, t) = n_a(\mathbf{r}, t)n_b(\mathbf{r}, t)$ , where  $n_a$ ,  $a = \{x, y\}$  are the components of  $\mathbf{n}$ . In terms of  $Q_{ab}$  Eq.(8) appears as

$$C_{nn}(\mathbf{r}, t) = \frac{2}{N^2} \sum_{\mathbf{x}} \langle Q_{ab}(\mathbf{x} + \mathbf{r}, t) Q_{ab}(\mathbf{x}, t) \rangle - 1, \quad (9)$$

where summation over repeated indices is understood. Since  $S_{nn}$  now has the form  $S_{nn}(\mathbf{k}, t) = 2\langle Q_{ab}(\mathbf{k}, t) Q_{ab}(-\mathbf{k}, t) \rangle - \delta_{\mathbf{k}, 0}$ ,  $C_{nn}$  can quickly be computed via  $S_{nn}$  using Fast Fourier Transform [13].

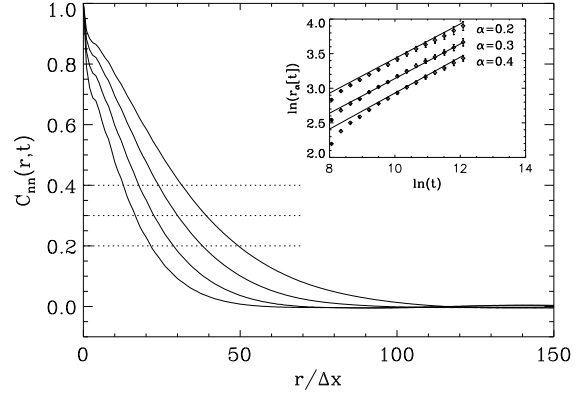


FIG. 4. Time evolution of the director correlation function Eq.(8) illustrated with four successive (dimensionless) times  $\{5.6 \times 10^3, 1.8 \times 10^4, 5.6 \times 10^4, 1.8 \times 10^5\}$  increasing from left to right. We extract the time evolution of the length scale,  $L(t)$ , by monitoring the  $r_\alpha(t)$  for which  $C_{nn}(r_\alpha(t)) = \alpha$  where  $\alpha < 1$  is some constant (the horizontal dotted lines show  $\alpha = \{0.2, 0.3, 0.4\}$ ). The scaling exponent  $y$  is extracted from a log-log plot of  $r_\alpha(t)$  versus  $t$  (inserted). The data shown result from a simulation of the SH system at zero thermal noise, and  $y$  assumes the value  $y = 0.25 \pm 0.02$ .

The expected scaling form for the correlation function  $C_{nn}$  is

$$C_{nn}(\mathbf{r}, t) = F(r/L(t)), \quad (10)$$

where  $F$  is a scaling function, and  $L$  is a length scaling as  $L(t) \sim t^y$ . For both the Swift-Hohenberg and diblock copolymer system we find this scaling form to be satisfied with the scaling exponents  $y = 0.25$  and  $y = 0.30$  at zero and finite noise, respectively [Fig.(4)].

The values of the scaling exponent  $y$  agree with the findings of Hou et al [12]. These authors measure the density,  $\rho(t)$ , of topological defects in the Swift-Hohenberg system and find the algebraic decay  $\rho(t) \sim t^{-y}$  where  $y = 0.25$  and  $y = 0.30$  at zero and finite noise, respectively. The boundaries between plane-wave domains consist of topological defects. Therefore the defect density must scale as the perimeter density of the domains which again scales as the reciprocal,  $L^{-1}$ , of the linear size of the domains. Furthermore, Hou et al find that the energy of the Swift-Hohenberg system, Eq.(1), decays as the defect density. Also here the diblock copolymer system behaves as the Swift-Hohenberg system. Measuring the energy, as given by Eq.(3), we find the algebraic decay  $E(t) \sim t^{-y}$  with the same values for  $y$  as above.

#### IV. THEORY

Theoretical analysis of the pattern dynamics of lamellar phases is complicated by the presence of topological defects and current theories apply only to systems without defects. However, locally Type  $I_s$  systems exhibit nearly ideal lamellar structures where, in two dimensions, the order parameter can be described as an amplitude

modulated plane wave  $\phi(\mathbf{r}, t) = [\phi_0 A(x, y, t) e^{ik_0 x} + c.c.]$ , where we have assumed lamellae perpendicular to the  $x$ -direction;  $A$  is a complex amplitude and  $c.c.$  denotes the complex conjugate. Inserting this form into the equations of motion, Eq.(2) or Eq.(5), we obtain in the absence of noise the *Amplitude Equation*

$$\tau_0 \partial_t A = \epsilon A + \xi_0^2 [\partial_x - (i/2k_0) \partial_y^2]^2 A - g_0 |A|^2 A, \quad (11)$$

where  $\tau_0, \xi_0$  and  $g_0$  are constants. The derivation of Eq.(11) from the Swift–Hohenberg equation [Eq.(2)] is described in Ref. [1] and the method of this reference can easily be extended to the DC-equation [Eq.(5)]. The amplitude equation describes the dynamics of both the magnitude,  $|A|$ , and the phase,  $\theta(\mathbf{r}, t)$ , of the complex amplitude  $A$ . By perturbing the steady state solution of Eq.(11) we obtain, to lowest order in  $\epsilon$ , the *Phase Equation* [1]

$$\partial_t \theta = D_{\parallel} \partial_x^2 \theta + D_{\perp} \partial_y^2 \theta, \quad (12)$$

where  $D_{\parallel}$  and  $D_{\perp}$  are diffusion coefficients in the parallel and normal directions, respectively. Dimensional analysis of Eq.(12) implies a  $t^{1/2}$ -growth of the characteristic length scale, in disagreement with numerical investigations which favour a smaller value of the growth exponent. However, as discussed below, by considering how a curved interface relaxes, working to second order in  $\epsilon$ , a transient regime with  $t^{1/4}$ -growth can be predicted.

### A. Projection operator method

In order to follow the slowly varying orientation of the rolls (or lamellae) Elder et al [2] introduces a coordinate system that tracks the interface given by the points at which  $\phi = 0$ . Specifically the Cartesian coordinates  $x$  and  $y$  are mapped onto curvilinear coordinates  $(u, s)$ , where  $u$  and  $s$  are locally normal and parallel to the lines  $\phi(\mathbf{r}, t) = 0$ . Assuming that the curvature of the individual rolls are small, the Laplacian in the new coordinates becomes

$$\nabla^2 \simeq \frac{\partial^2}{\partial u^2} + \kappa \frac{\partial}{\partial u} + \frac{\partial^2}{\partial s^2}, \quad (13)$$

where  $\kappa$  is the local curvature. Assuming that the stationary solution of the one-dimensional Swift–Hohenberg equation is a good approximation in the normal direction, Eq.(2) becomes

$$\frac{\partial \phi^s}{\partial u} \frac{\partial u}{\partial t} = 2\kappa \left( \frac{\partial \phi^s}{\partial u} + \frac{\partial^3 \phi^s}{\partial u^3} \right) + \kappa_{ss} \frac{\partial \phi^s}{\partial u} + \Delta, \quad (14)$$

where  $\kappa_{ss} = \partial^2 \kappa / \partial s^2$  and  $\phi^s$  is the solution of  $\phi^s(u(\mathbf{r}, t))^3 = [\epsilon - (k_0^2 + \partial_u^2) \phi^s(u(\mathbf{r}, t))]$ . The final term,  $\Delta$ , in Eq.(14) contains terms of higher order in  $\kappa$ , and terms involving the derivative of  $\kappa$  in the direction normal to the lamellae:  $\Delta = (\kappa_{uu} + \kappa \kappa_u) \partial_u \phi^s + (2\kappa_u + \kappa^2) \partial_u^2 \phi^s$ , where  $\kappa_u$  means  $\partial_u \kappa$ , etc. Application of the projection operator,

$$\frac{k_0}{2\pi} \int_{-\pi/k_0}^{\pi/k_0} du \partial_u \phi^s, \quad (15)$$

to Eq.(14) produces the final result

$$v = -a\kappa + \kappa_{ss}, \quad (16)$$

where  $v = \partial_t u$  is the interface velocity,  $a = -2(k_0^2 + \beta/\sigma)$ ,  $\sigma = (k_0/2\pi) \int_{-\pi/k_0}^{\pi/k_0} du (\partial_u \phi^s)^2$ , and  $\beta = (k_0/2\pi) \int_{-\pi/k_0}^{\pi/k_0} du (\partial_u \phi^s) (\partial_u^3 \phi^s)$ . The term involving  $\Delta$  drops out from the final result, because  $\Delta \partial_u \phi^s$  can be written as  $\partial_u [(\kappa_u + \kappa^2/2) (\partial_u \phi^s)^2]$ , which vanishes when integrated over one lamellar thickness.

In order to evaluate the coefficient  $a$  in Eq.(16) the stationary solution is expanded to leading order in  $\epsilon$ , yielding [5].

$$\phi^s(u) = \Phi_1 \cos(k_0 u) + \Phi_3 \cos(3k_0 u), \quad (17)$$

with coefficients  $\Phi_1 = \sqrt{4\epsilon/3}$  and  $\Phi_3 = -\Phi_1^3/256$ . Using this expansion we find  $a = \epsilon^2/256$ , remembering that  $k_0^2 = 1$ .

Applying the same analysis as above to the DC-equation, the equation corresponding to Eq.(14) becomes

$$\frac{\partial \phi^s}{\partial u} \frac{\partial u}{\partial t} = \kappa \left( \frac{\partial \phi^s}{\partial u} + 2 \frac{\partial^3 \phi^s}{\partial u^3} - 3(\phi^s)^2 \frac{\partial \phi^s}{\partial u} \right) + \kappa_{ss} \frac{\partial \phi^s}{\partial u} + \Delta \quad (18)$$

where  $\phi^s$  is the solution of  $\partial_u^2 \phi^s(u(\mathbf{r}, t))^3 = [\epsilon - (1/2 + \partial_u^2) \phi^s(u(\mathbf{r}, t))]$ , and  $\Delta$  has the same meaning as in Eq.(14). Using the projection operator [Eq.(15)] we retrieve Eq.(16), only now with  $a = -(1 + 2\beta/\sigma - 3\gamma/\sigma)$  where  $\gamma = (k_0/2\pi) \int_{-\pi/k_0}^{\pi/k_0} du (\phi^s)^2 (\partial_u \phi^s)^2$ , and  $\sigma$  and  $\beta$  are as defined above. The quantities  $\sigma$ ,  $\beta$ , and  $\gamma$  can be determined by substituting the form Eq.(17) into the free-energy functional Eq.(3), and minimizing with respect to  $k_0$ ,  $\Phi_1$ , and  $\Phi_3$ . To the required order in  $\epsilon = 1/4 - \Gamma$ , the result is  $k_0 = \Gamma^{1/4} = (1/4 - \epsilon)^{1/4}$ ,  $\Phi_1^2 = (8/3)\epsilon + (19/6)\epsilon^2$ , and  $\Phi_3 = -(9/128)\Phi_1^3$ , leading (after some algebra) to  $a = (45/32)\epsilon^2$ , correct to leading non-trivial order in  $\epsilon$ .

In this approximation  $a$  is a very small number,  $a \simeq 2.4 \times 10^{-4}$  and  $a \simeq 3.5 \times 10^{-3}$  in the SH and DC systems when  $\epsilon = 0.25$  and  $\epsilon = 0.05$ , respectively. Dimensional analysis of Eq.(16) therefore implies a crossover in the growth of the characteristic length scale from a transient  $t^{1/4}$ -growth to an asymptotic  $t^{1/2}$ -growth. The crossover occurs approximately when  $(at)^{1/2} = t^{1/4}$ , that is, when  $t \simeq 1.7 \times 10^7$  in the SH system and when  $t \simeq 8 \times 10^4$  in the DC system. These crossover times far exceed the latest times we have been able to probe in our simulations, but though (as pointed out by Elder et al [2]) an appealing interpretation of the numerical results is that they witness the transient regime, there is no numerical evidence of any crossover behaviour. However, since the

estimated crossover time for the DC system is three orders of magnitude smaller than the corresponding time in the SH system, the DC system is the obvious candidate for future investigations.

### B. Relaxation of a modulated interface

The same problem can be investigated using a general approach to growth exponents recently developed by one of the authors [3].

We consider a small regular perturbation of the perfect lamellar phase and wish to determine the rate at which the system relaxes to its ground state. Setting  $\phi(x, y, t) = \phi_L(x) + \tilde{\phi}(x, y, t)$ , where  $\phi_L(x)$  is the stationary lamellar solution of the Swift–Hohenberg equation [Eq.(2)],

$$0 = \epsilon \phi_L - (k_0^2 + \partial_x^2)^2 \phi_L - \phi_L^3, \quad (19)$$

and  $\tilde{\phi}$  is a small perturbation, the linearized equation of motion for  $\tilde{\phi}$  becomes

$$\partial_t \tilde{\phi} = \epsilon \tilde{\phi} - (k_0^2 + \nabla^2)^2 \tilde{\phi} - 3\phi_L^2 \tilde{\phi}. \quad (20)$$

A modulation of the lamellar phase with wave vector  $q \ll k_0$  is  $\phi(x, y, t) = \phi_L[x + A(t) \cos(qy)] \simeq \phi_L(x) + \phi'_L(x) A(t) \cos(qy)$ , where  $\phi'_L$  means  $\partial_x \phi_L$  and the amplitude,  $A$ , of the modulation is assumed small compared to the lamellar spacing [Fig.(5)]. More generally, we can write the modulated phase as

$$\phi(x, y, t) = \phi_L(x) + A_0 e^{-\omega_q t} \cos(qy) \psi_q(x) \quad (21)$$

where the function  $\psi_q(x)$  has the same periodicity as  $\phi_L$ , ( $\phi_L \sim \cos(k_0 x)$ ,  $k_0 = 1$ ), and satisfies the condition  $\psi_{q=0}(x) = \phi'_L(x)$ , since  $q = 0$  represents a uniform translation of the lamellae. We have written the time dependence of the amplitude as  $A(t) = A_0 e^{-\omega_q t}$ , describing the decay of the eigen-perturbation of wave vector  $q$  at a characteristic rate  $\omega_q$ .

Insertion of Eq.(21) into Eq.(20) yields an eigenvalue equation,  $\hat{H} \psi_q = \omega_q \psi_q$ , for the relaxation rate with 'Hamiltonian'

$$\hat{H} = (k_0^2 + \partial_x^2)^2 + 3\phi_L^2(x) - \epsilon - 2q^2(\partial_x^2 + k_0^2) + q^4. \quad (22)$$

The  $q = 0$  mode corresponds to a uniform displacement of the interface wherefore  $\omega_{q=0}$  is fixed to zero. Since  $\psi_0 = \phi'_L$ , this condition corresponds to

$$0 = \epsilon \phi'_L - 3\phi_L^2 \phi'_L - \phi'_L - 2\phi_L''' - \phi_L''''', \quad (23)$$

which is satisfied because Eq.(23) is the derivative of Eq.(19).

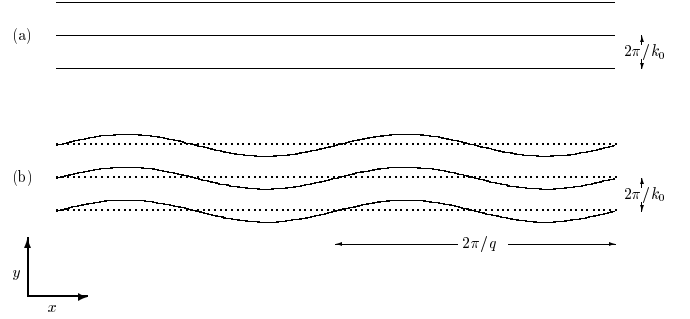


FIG. 5. We here sketch (a) the unperturbed lamellar phase and (b) a modulated lamellar phase. The perturbation  $\tilde{\phi} = A(t) \cos(qy) \psi_q$  introduce spatial variations in the pattern at the length scale  $L = 2\pi/q$ .

In the limit  $\epsilon \rightarrow 0$  we have  $\phi_L \rightarrow 0$ , so for  $\epsilon = 0$  the eigenvalue equation reads  $-\omega_q \psi_q = -(k_0^2 - q^2)^2 \psi_q - 2(k_0^2 - q^2) \psi_q'' - \psi_q''''$ . Remembering that  $\psi_q$  is periodic in  $x$  with wavevector  $k_0$ , this implies  $\omega_q = q^4$ . For small  $\epsilon$  we expect  $\omega_q = a q^2 + q^4$ , where  $a$  is a constant. We can verify this assertion and determine the value of  $a$  by treating the  $q$ -dependent part of  $\hat{H}$  as a perturbation, and calculate the first-order correction to the ground state eigenvalue using standard perturbation techniques. The unperturbed eigenfunction for  $\hat{H}$  is  $\psi_0 = \phi'_L$  with eigenvalue zero. Obviously the  $q^4$ -term in the perturbation just gives a contribution  $q^4$  to the eigenvalue. The  $O(q^2)$  contribution is

$$a q^2 = -2q^2 \frac{\int dx \phi'_L (\partial_x^2 + k_0^2) \phi'_L}{\int dx (\phi'_L)^2} = 2q^2 \left[ \frac{\int dx (\phi_L'')^2}{\int dx (\phi_L')^2} - k_0^2 \right],$$

and with  $\phi_L$  expanded as previously [Eq.(17)] we have  $a = \epsilon^2/256$ . Thus the relaxation rate is

$$\omega_q = \frac{\epsilon^2}{256} q^2 + q^4. \quad (24)$$

We notice that the coefficient  $a$  here assumes the same value as determined above by the projection operator method, and that a dimensional analysis of Eq.(24) thus predicts the same crossover behaviour as did the analysis of Eq.(16) for the interface velocity. Furthermore, we notice that the formal expression for the coefficient  $a$  is identical to that obtained from the projection operator method, as may be seen from an integration by parts, i.e., the result holds generally, not just to the order given by the expansion Eq.(17).

Due to the more complicated structure of the DC-equation [Eq.(5)] a similar analysis of interfacial relaxation in diblock copolymers has not yet proved possible. The main difficulty is that the 'Hamiltonian' operator  $\hat{H}$  for this case is not self-adjoint, even for  $q = 0$ , with the result that a perturbative calculation of  $\omega_q$  requires not only the null eigenfunction  $\phi'_L(x)$  of the  $q = 0$  operator  $\hat{H}_0$ , but also the null eigenfunction of the adjoint operator  $\hat{H}_0^\dagger$ , which we have so far been unable to determine.

## V. SUMMARY AND DISCUSSION

By numerical investigations we have found evidence of identical coarsening dynamics for the lamellar phase of the Swift–Hohenberg and diblock copolymer systems. This suggest that both systems belong to the same universality class. We have extracted temperature dependent dynamical scaling exponents for the characteristic length scale partly by computing the ordinary structure factor and partly by computing a correlation function [Eq.(8)] of the director field. Surprisingly the two methods yield different scaling exponents indicating that the scaling phenomenon in question is non-trivial. We have no good understanding of the reasons for this discrepancy, but it should be noticed that the length scale extracted from the structure factor does not have the same immediate geometrical interpretation as has the length scale extracted from the director-field correlation function. Furthermore, the fact that the length scale,  $L_{nn}$ , arising from the director field correlation function scales with the same growth exponents as the energy suggests that  $L_{nn}$  is the physically important length scale in the system.

Theoretically, by considering how curved interfaces relax we have demonstrated that the projection operator method, when applied to either of the two systems, results in the same scaling exponents. This finding supports the suggestion from our numerical work that the coarsening dynamics of the Swift–Hohenberg and diblock copolymer systems belong to the same universality class. However, the theoretical analysis applies only to defect free systems and does not explain the observed temperature-dependence of the growth exponents. A thorough understanding of the coarsening phenomenon here considered requires a theoretical treatment which successfully includes the simultaneous effects of both interfacial relaxation and defect–defect interactions.

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